

3-31-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
00-017Total Pages in this Submission  
47**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

**SYSTEMS AND METHODS FOR PROVIDING TRANSFERABLE ITEM PRICES**

and invented by:

Jay S. WALKER, John M. PACKES, JR., Andrew P. GOLDEN, Russell PRATT SAMMON, Timothy A. PALMER, Terry MAYFIELD and Peter KIM

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 34 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (*if applicable*)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d. ☐ Reference to Microfiche Appendix (*if applicable*)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (*if drawings filed*)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets                      8 sheets
- b. ☐ Informal                      Number of Sheets                      \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy)                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail (Specify Label No.): EL548811531US

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	70	- 20 =	50	x \$18.00	\$900.00
Indep. Claims	13	- 3 =	10	x \$78.00	\$780.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) <u>Recordation of Assignment</u>					\$40.00
TOTAL FILING FEE					\$2,410.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$2,410.00** as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

*Patrick Buckley*  
Signature

Patrick J. Buckley  
Attorney For Applicants



**22927**

PATENT TRANSMITTAL OFFICE

Dated: March 30, 2000

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 00-017



In re Application of:	Jay S. WALKER et al.	)	Customer No.:	22927
		)		
For:	SYSTEMS AND METHODS FOR PROVIDING TRANSFERABLE ITEM PRICES	)	Examiner	Not Yet Assigned
		)		
Serial No.:	Not Yet Assigned	)	Group Art Unit:	Not Yet Assigned
		)		
Filing Date:	March 30, 2000	)	Docket No.:	00-017

**BOX PATENT APPLICATIONS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing By Express Mailing (37 CFR 1.10) No. EL548811531US;
2. Utility Patent Application Transmittal Letter (Large Entity);
3. Patent Application (34 pgs.);
4. Formal Drawings (Figs. 1-7, 8 pgs.)
5. Combined Declaration and Power of Attorney;
6. Assignment with Recordation Cover Sheet; and
7. Return Postcard.

The Commissioner is hereby authorized to charge \$2,410.00 to Deposit Account No. 50-0271 Order No. 00-017 for the fees required. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271 Order No. 00-017. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

*Patrick Buckley*

Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905

March 30, 2000

Date

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an Envelope No. EL548811531US with sufficient postage addressed to Box Patent Applications, Assistant Commissioner for Patents, Washington, D.C. 20231 on March 30, 2000.

Tara D. Gee

Typed Name of Person Making Deposit

*Tara D. Gee*

Signature

03/30/00

Date